



Computer Security and Privacy

Access Control

Slides made by Carmela Troncoso, SPRING Lab, additions by Thomas Bourgeat

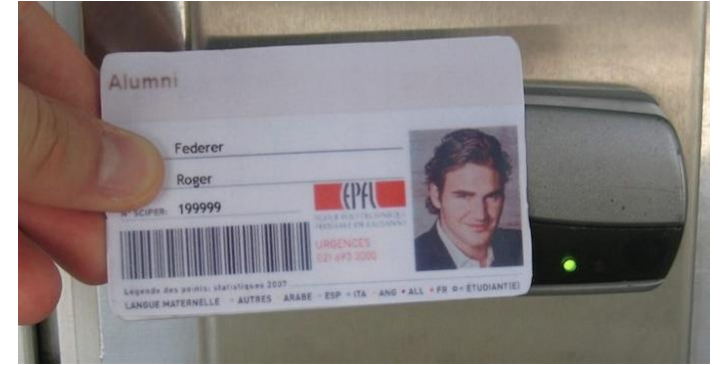
Some slides/ideas adapted from: Philippe Oechslin, George Danezis, Emiliano de Cristofaro, Gianluca Stringhini



Computer Security and Privacy

Access control Introduction

What is “access control”?



What is “digital” access control?

ACCESS CONTROL: Security mechanism that ensures that
“all accesses and actions on objects by principals are WITHIN the security policy”

Example questions access control systems need to answer:

- Can Alice read file `“/users/Bob/readme.txt”`?
- Can Bob open a TCP socket to `“http://www.abc.com/”`?
- Can Charlie write to row 15 of the table GRADES?



“authorized”
“has permission”



“unauthorized”
“access denied”

Only events within the security policy

Why is so important to learn about access control?

Access control is the **first line of defense**. Thus, **it is used everywhere**

Applications

Online Social Networks, Email server, Cloud storage

Middleware

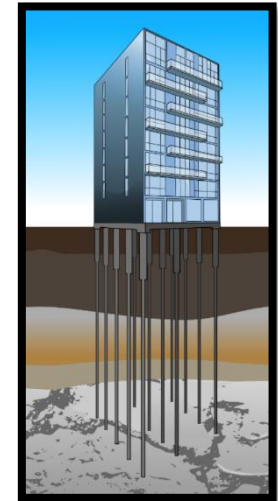
Databases Management Systems (DBMS)

Operating System

control access to files, directories, ports,...

Hardware

Memory, register, privileges



Where does access control (usually) fit?



Authentication
(later in course)

The system needs to bind the actor to a **principal** before authorization

abstract entity that is authorized to act
(users, connections, processes)

Authorization

The system needs to decide whether the **principal** is authorized

Access control



The mechanisms that do authentication and authorization are in the **Trusted Computing Base!**

Implementing access control

What **NOT** to do: “Checks soup”

- All over the program, add checks
 - implementing the decision in-line based on the policy

```
#some code that needs to access file3.txt

if (action == read) and ((userID == Alice) or (userID == Bob) :
    open(file3.txt, 'r')
elif (action == write) or (userID == Bob) then:
    open(file3.txt, 'w')
else:
    print("The user does not have access to file3.txt")
```



Implementing access control

What you **SHOULD DO**: Systematic calls to “reference monitor”

- All over the program add checks that call the monitor
 - Checks authorisation required, and provide evidence as to the principals and objects
 - “Central” subsystem establishes whether the checks pass or not

Apache Shiro

<https://shiro.apache.org>

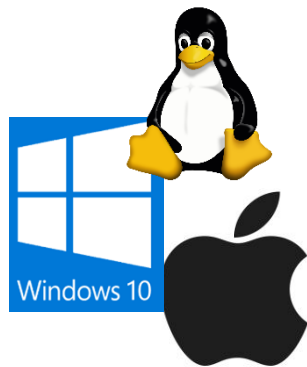
```
if ( subject.isPermitted("user:delete:jsmith") ) {  
    //delete the 'jsmith' user  
} else {  
    //don't delete 'jsmith'  
}
```

Least common
mechanism??

Who decides the access policy? Two approaches

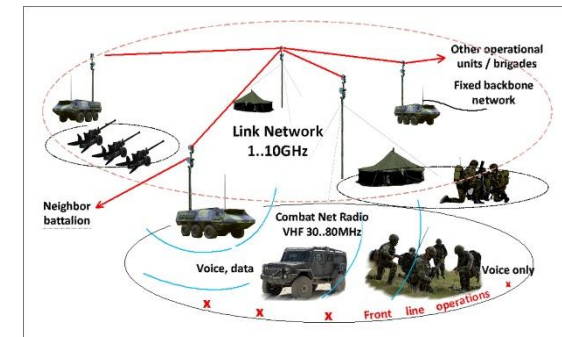
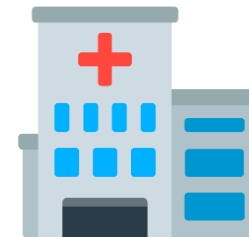
DISCRETIONARY ACCESS CONTROL (DAC)

- **Object owners** assign permissions
- Ownership of resources
 - Windows, Linux, macOS
 - Social Networks



MANDATORY ACCESS CONTROL (MAC)

- **Central security policy** assigns permissions
- Organizations with need for central controls
 - Military – focus on confidentiality
 - Hospital environment – focus on confidentiality and integrity
 - Banking – focus on integrity





Computer Security (COM-301)

Discretionary Access control

Protection mechanisms in computers

*B. Lampson. Protection. Proc. 5th Princeton Conf. on Information Sciences and Systems, Princeton, 1971.
Reprinted in ACM Operating Systems Rev. 8, 1 (Jan. 1974), pp 18-24.*

The original motivation for putting protection mechanisms into computer systems was to keep one user's malice or error from harming other users. Harm can be inflicted in several ways:

- a) by destroying or modifying another user's data;
- b) by reading or copying another user's data without permission;
- c) by degrading the service another user gets, e.g. using up all the disk space or getting more than a fair share of the processing time. An extreme case is a malicious act or accident which crashes the system - this might be considered the ultimate degradation.

More recently it has been realized that all of the above reasons for wanting protection are just as strong if the word 'user' is replaced by 'program'.

Implementing Discretionary Access Control

How??

- **Object owners assign permissions**
- Ownership of resources
 - Windows, Linux
 - Social Networks

Permissions establish which subjects can access which objects

But in a system there are many subjects and objects.

There can be many subjects, many objects, and many combinations of permissions combining subjects and objects, how can we handle?

Discretionary Access Control policies are often conceptualized as an **Access Control Matrix**



Implementing Discretionary Access Control

How??

- *Object owners assign permissions*

Permissions establish which subjects can access which objects

- Ow

(It is not at all clear that the scheme described below is the only, or even the best, set of conventions to impose, but it does have the property that almost all the schemes used in existing systems are subsets of this one.)

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any
nd

Discretionary Access Control policies are often conceptualized as an **Access Control Matrix**



The Access Control Matrix

ACCESS CONTROL MATRIX: an *abstract* representation of all **permitted** triplets of (subject, object, access right) within a system

Subjects (principals): entity within an IT system

a user, a process, a service

Objects(assets): resources that (some) subject may access or use

*a file, a folder, a row in a database, the system's memory, a machine in the network,
a printer, a page in a website*

Operations: in abstract, subjects can observe and/or alter objects

read, write, append, execute

Access Control Matrix - Example

S ... Alice, Bob

O ... file1, file2, file3

A ... read, write

Can Alice read file1?

Can Bob write file1?

Can file3 be written by Alice?

Access control matrix:

	file1	file2	file3
Alice	read write		read
Bob		read write	read write

Access Control Matrix – Original Example

	Domain 1	Domain 2	Domain 3	File 1	File 2	Process 1
Domain 1	*owner control	*owner control	*call	*owner *read *write		
Domain 2			call	*read	write	wakeup
Domain 3			owner control	read	*owner	

*copy flag set

Figure 1: Portion of an access matrix

The Access Control Matrix is an abstract concept

Not for direct implementation

what if there are thousands of files or hundreds of users?

```
Select Command Prompt
03/12/2018 02:55 PM      81,953 EULA_sk-sk.htm
03/12/2018 02:55 PM      66,159 EULA_sl-sl.htm
03/12/2018 02:55 PM      75,552 EULA_sr-latn-cs.htm
03/12/2018 02:55 PM      70,391 EULA_sv-se.htm
03/12/2018 02:55 PM     254,145 EULA_th-th.htm
03/12/2018 02:55 PM      75,137 EULA_tr-tr.htm
03/12/2018 02:55 PM     266,731 EULA_uk-ua.htm
03/12/2018 02:55 PM     126,241 EULA_zh-cn.htm
03/12/2018 02:55 PM     147,140 EULA_zh-hk.htm
03/12/2018 02:55 PM     147,140 EULA_zh-tw.htm
          39 File(s)      5,467,740 bytes

Directory of c:\Windows10Upgrade\resources\ux\Microsoft.WinJS\css
03/12/2018 02:55 PM         40,953 oobe-desktop.css
03/12/2018 02:55 PM         41,081 oobe-desktopRS2.css
03/12/2018 02:55 PM         269,159 ui-dark.css
          3 File(s)       351,193 bytes

Directory of c:\Windows10Upgrade\resources\ux\Microsoft.WinJS\js
03/12/2018 02:55 PM     1,283,526 base.js
03/12/2018 02:55 PM     3,046,842 ui.js
          2 File(s)       4,330,368 bytes

Total Files Listed:
629601 File(s) 11,702,387,042 bytes
          6 Dir(s)  854,498,795,520 bytes free

C:\Users\catronco>
```

629601

$$O(f \cdot u)$$

1 bit per file, 1 user	78KB
3 bits per file, 1 user	236KB
3 bits per file, 10 users	2.36MB

More issues:

usually very sparse – wasteful

inefficient to access – need to keep the matrix in fast memory?

extensibility – add a file? add a user?

Access Control Lists (ACLs)

Associate permissions to **objects**

	file1	file2	file3
Alice	read write		read
Bob		read write	read write

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Permissions are associated to **objects**

```
file1: { (Alice, read/write) }  
file2: { (Bob, read/write) }  
file3:  
{ (Alice, read), (Bob, read/write) }
```

Access Control Lists (ACLs)

Associate permissions to **objects**

	file1	file2	file3
Alice	read write		read
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Notice blanks are not stored!!

Permissions are associated to **objects**

```
file1: { (Alice, read/write) }
```

```
file2: { (Bob, read/write) }
```

```
file3:
```

```
{ (Alice, read) , (Bob, read/write) }
```

Access Control Lists (ACLs)

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{ (Alice, read), (Bob, read/write) }
```



can store close/with the resource
easy to determine who can access a resource
easy to revoke rights by resource



difficult to check at runtime
difficult to audit all rights of a user
difficult to remove all permissions from a user
(better remove authentication!)
difficult delegation

Role Based Access Control (RBAC)

Systems have too many subjects! that come and go!

Large dynamic ACLs 😞

Subjects are similar to each other: assign same rights

e.g., a doctor has the same privileges as another doctor

1) assign permissions to *roles*

2) assign *roles* to subjects

3) subjects select an active *role* – they have the permissions of the active role

RBAC Problems

Problem 1: Role Explosion

- Temptation to create fine grained roles, denying benefits of RBAC

Problem 2: Simple RBAC has limited expressiveness

- Problems with implementing least privilege
- Some roles are relative: “Alice's Doctor” vs. “Any Doctor”

Problem 3: Difficult to implement separation of duty

- “Two doctors are needed to authorize a procedure”
- RBAC Mechanism needs to ensure they are distinct!

Group Based Access Control

Systems have too many subjects! that come and go!

Large dynamic ACLs 😞

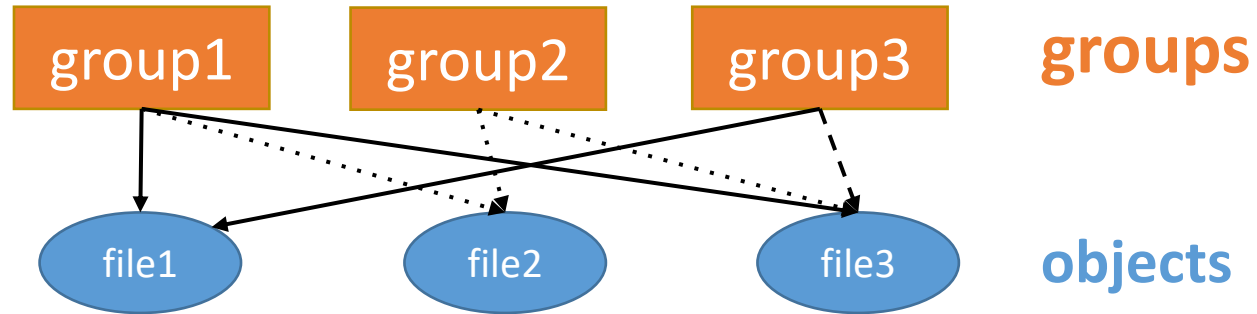
Observation: Some permissions are always needed together
e.g., access to sockets and network interface always go hand in hand

- 1) assign permissions to access objects to *groups*
- 2) assign subjects to *groups*
- 3) subjects have the permissions of *all* their groups

Group based access control

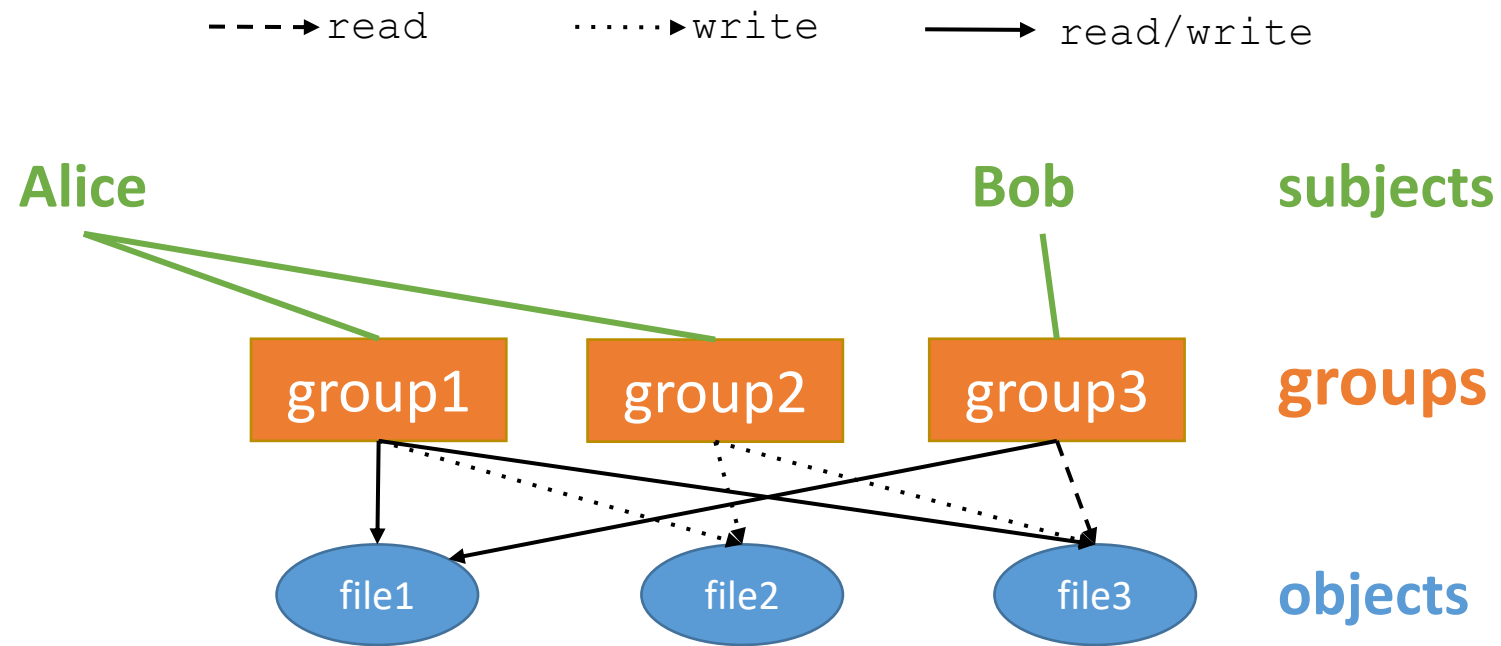
Negative permissions to implement fine-grained policies

----> read > write ———> read/write



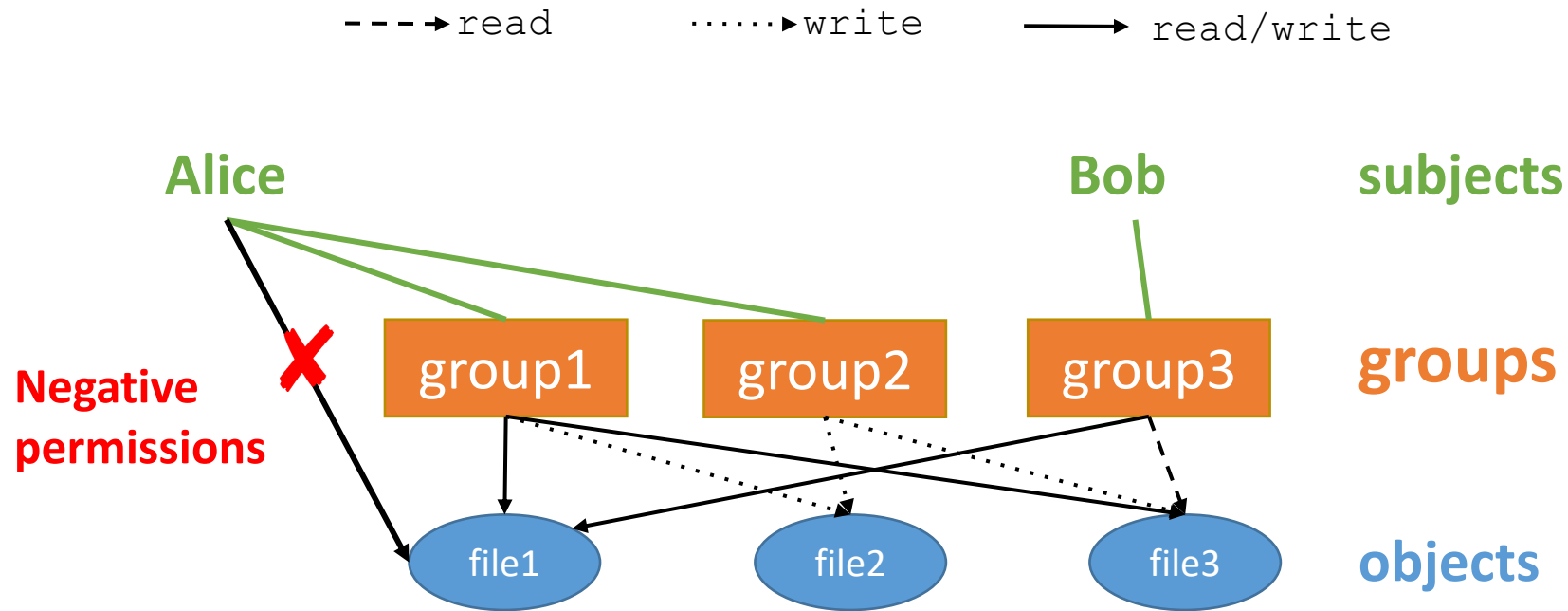
Group based access control

Negative permissions to implement fine-grained policies



Group based access control

Negative permissions to implement fine-grained policies



What would you check first: Negative permissions or group permissions?

Capabilities

Associate permissions to **subjects**

	file1	file2	file3
Alice	read write		read
Bob		read write	read write

Capabilities

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Permissions associated to **subjects**

```
Alice: {(file1, read/write), (file3, read/write)}
```

```
Bob: {(file2, read/write), (file3, write)}
```

Capabilities

Associate permissions to **subjects**

	file1	file2	file3
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Permissions associated to **subjects**

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```

```
Bob: {(file2, read/write), (file3, write)}
```

Notice blanks are not stored!!



can store with the subject (portable!)
easy to audit all subject permissions
delegating is “simple”



revoking permission on one object is hard
transferability, once the capability is given
how can we prevent sharing?
authenticity, how to check?

A recurrent problem in access control

AMBIENT AUTHORITY is used by a subject if for an action to succeed it **only** needs to specify the **operation** and the **names** of the involved object(s)

In these cases the **subject** (with authority) is implicit

```
open("file1", "rw")
```

(the subject is missing, it is understood it is the process owner)

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no need to repeat the subject all the time (usability)

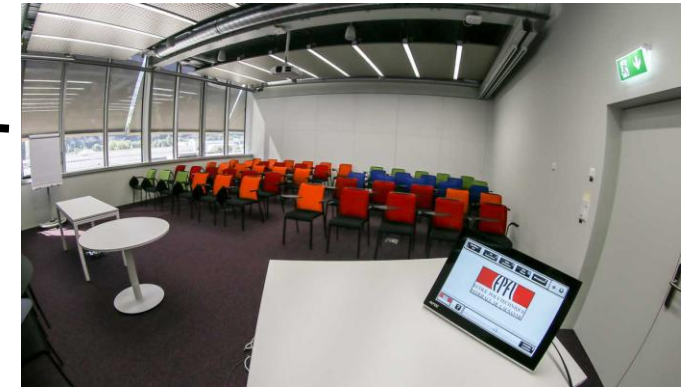
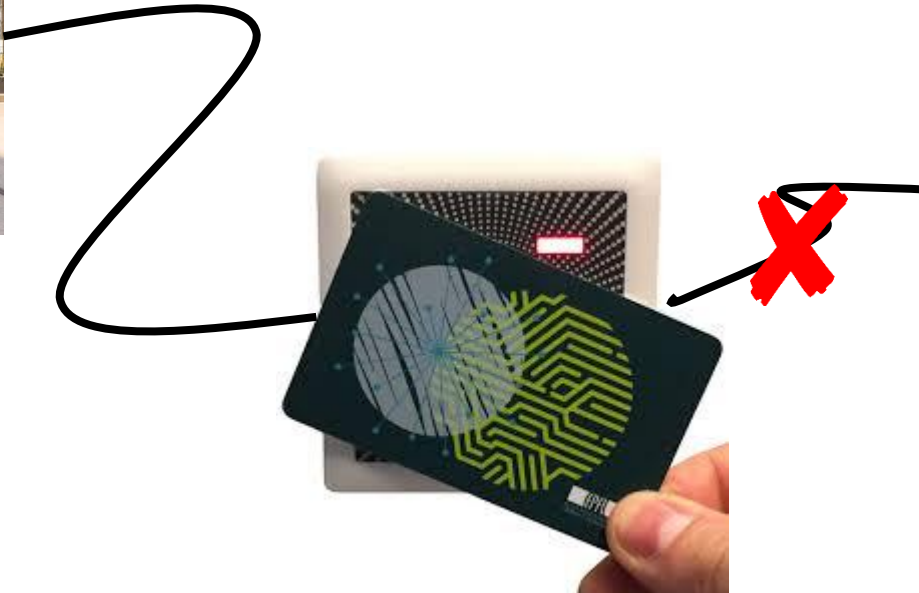


least privilege becomes harder to enforce
confused deputy problem!

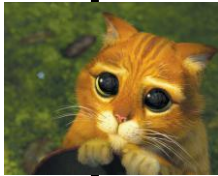
The confused deputy problem

**Problem with ambient authority:
A privileged program can be tricked to misuse its authority
(Confused deputy problem)**

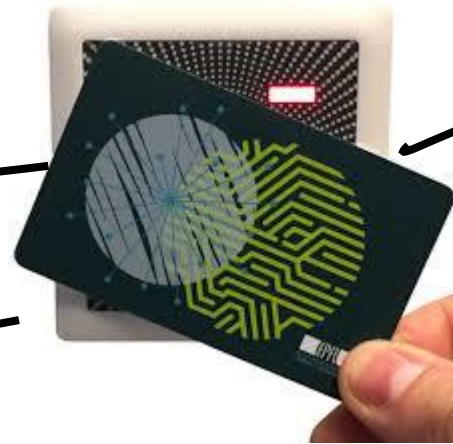
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The confused deputy problem

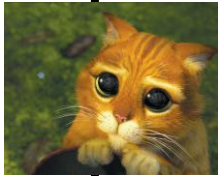


Confused deputy

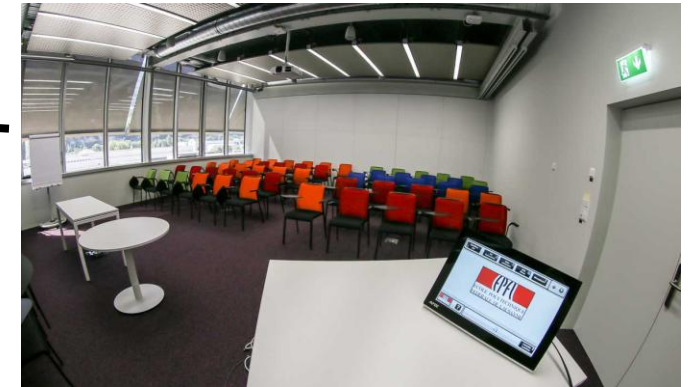
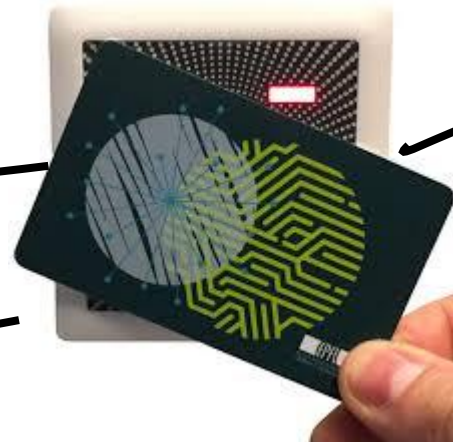


The confused deputy problem

Security breach!!



Confused deputy



The confused deputy

PAY-PER-USE COMPILER

- Compiler receives `(input, output)`
- Compiles the program `input` and:
 - writes usage into `bill`
 - writes errors into `output`

	input	output	bill
Alice	write	read	read
Compiler	read	read write	read write

ACL

```
input: {(Alice, write), (Compiler, read)}  
output: {(Alice, read), (Compiler, read/write)}  
bill: {(Alice, read), (Compiler, read/write)}
```

CAN ALICE CHANGE THE `bill`?

AND AVOID PAYING?

The confused deputy

PAY-PER-USE COMPILER

- Compiler receives `(input, output)`
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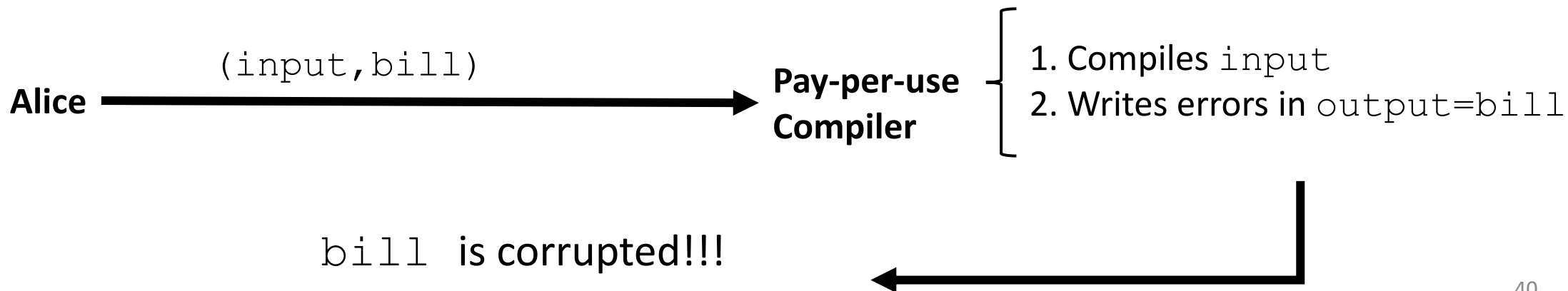
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How to avoid confused deputies

Real problem. Ambient authority is used for convenience in many real systems, OS services, web servers,...

Solutions:

- 1) Re-implement access control in the privileged process
- 2) Let privileged process check authorization for Alice.
- 3) Capabilities can help!

A Historical Timeline of Capability-Based Security

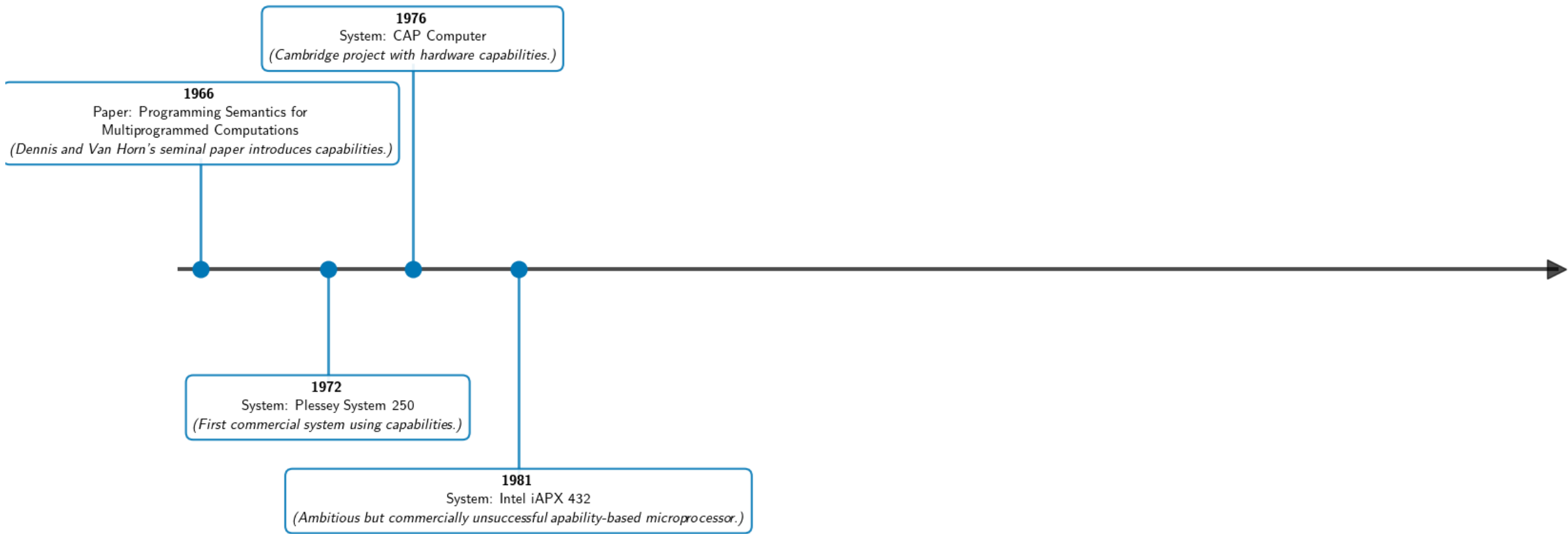
1966

Paper: Programming Semantics for
Multiprogrammed Computations

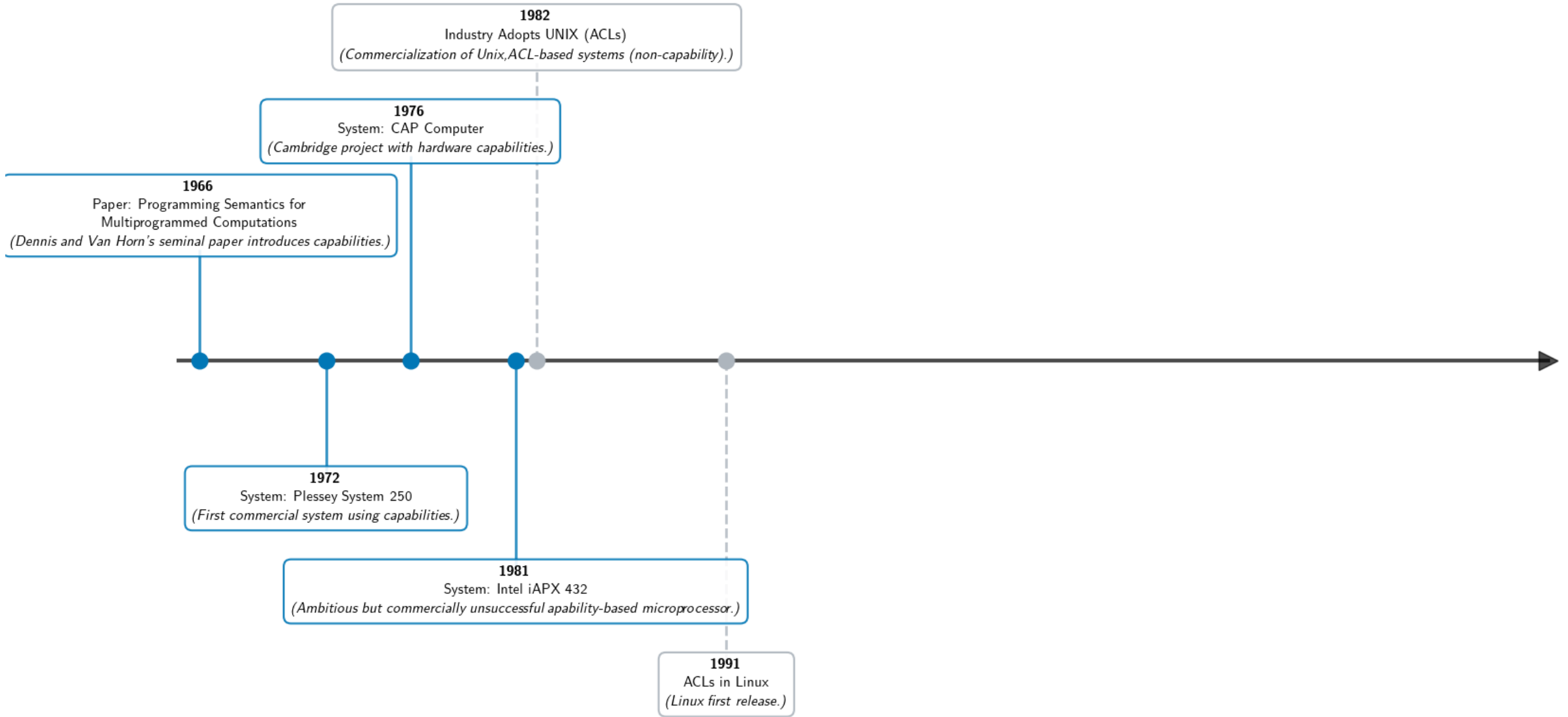
(Dennis and Van Horn's seminal paper introduces capabilities.)



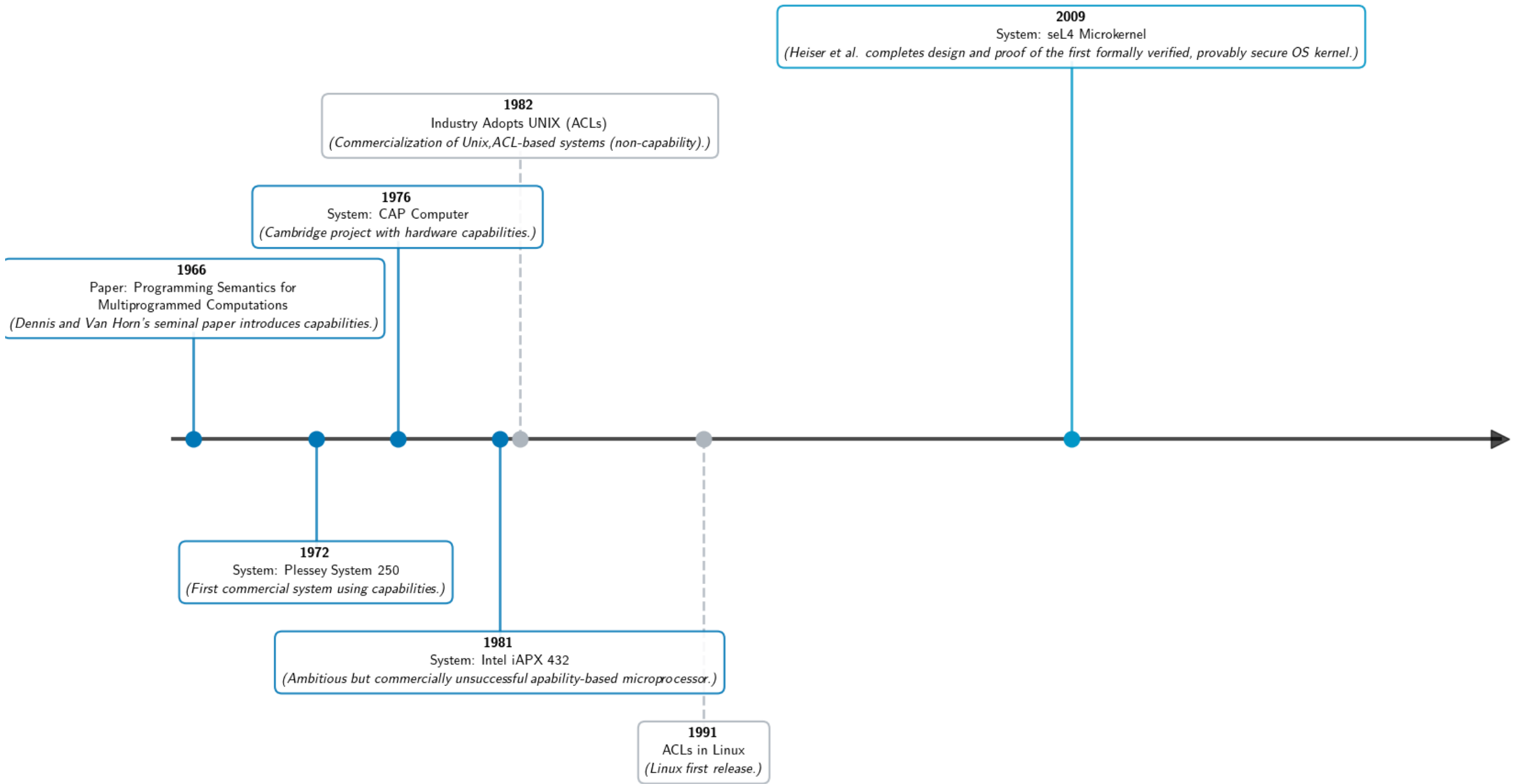
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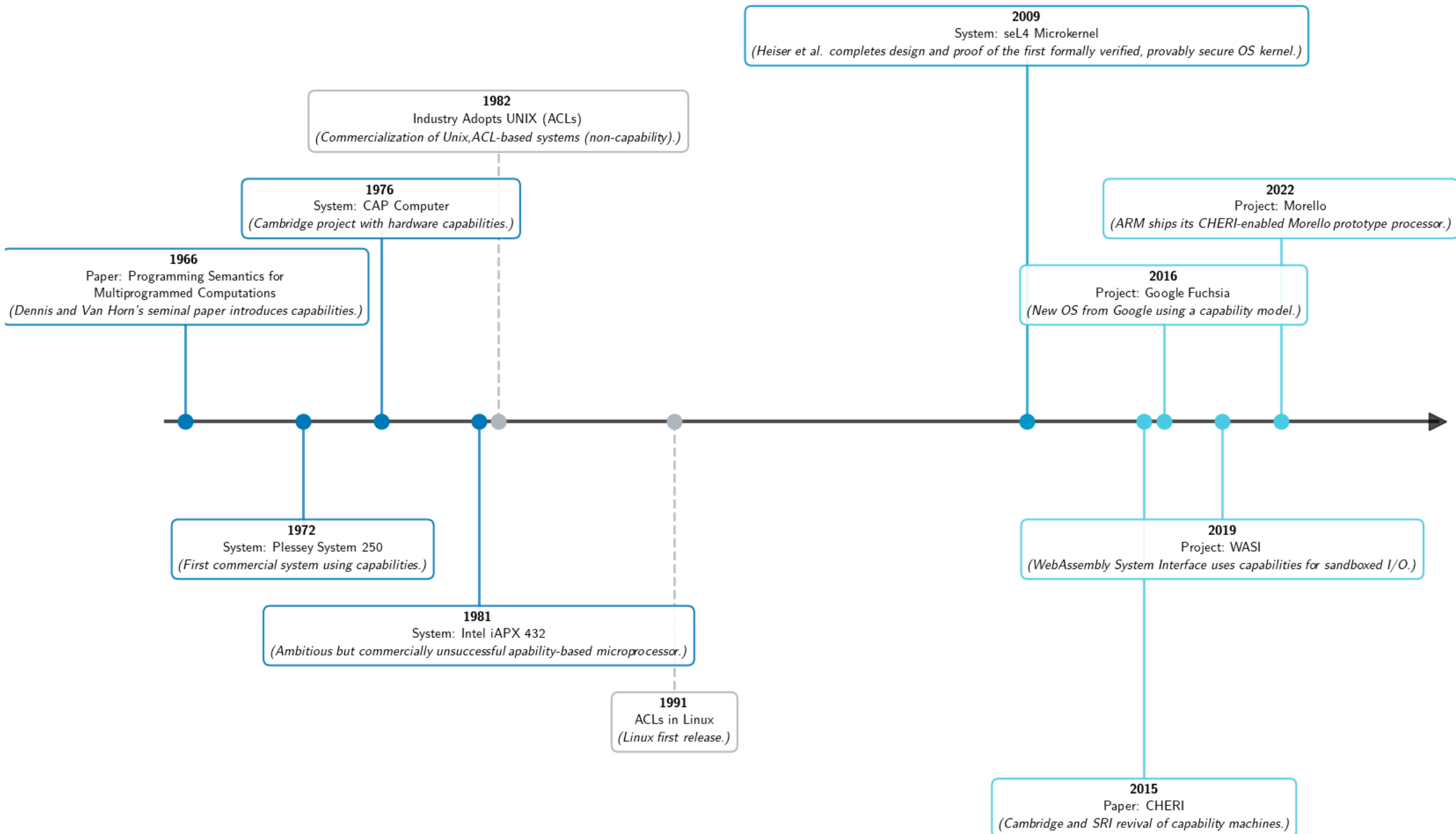
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Solutions:

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- 3) Capabilities can help!

In the previous example...

- Compiler has capabilities to the file `bill`.
- To compile Alice must give access to the debugging file `output`
 - Cannot give a capability for writing on `bill`!
 - Cannot confuse anyone!

Keynote: Where Are We With Scala's Capabilities?

The object capability model has been established since the 1960s. It is probably the most obvious and clean way to protect trusted from untrusted components in a complex system. Capabilities are a unifying concept for many aspects of programming, including permissions, effects, and resources. They can be the missing link that can make combinations of functional and imperative programming safe.

So why are object capabilities not used everywhere? I argue it's because they currently lack in both convenience and safety: Convenience: Passing all capabilities along long call chains to code that needs them can quickly get tedious. Safety: Access restrictions such as limited lifetimes or sharing are traditionally encoded using runtime mechanisms with the possibility of runtime failures.

At EPFL we have been working on overcoming these two impediments. Convenience: capabilities can be passed as implicit parameters in using clauses, and capability passing can be completely abstracted over using context functions. Safety: We have extended the type system to track capabilities in types. Specifically, we track which capabilities are closed over in a lambda or object. We are now two years into a project to make these ideas usable on a large scale. I will report on the state of capability checking today: the usage experience with these concepts, what measures we took to make the notations more ergonomic, and what our plans for the future are.

1966
Paper: Programming
Multiprogrammed
(Dennis and Van Horn's seminal paper)

(Fischer)

Paper: CHERI
(Cambridge and SRI revival of capability machines.)

Summary

Discretionary Access Control: owners establish permissions

Conceptualized as an Access Control Matrix

Implemented in two flavors:

- Access control list: permission associated to objects

- Capabilities: permission associated to owners

When relying on access control, it is always important to think about confused deputies



Computer Security and Privacy

Discretionary Access Control

Examples: Linux & Windows

Discretionary Access Control in Real life

We saw that many of the systems we use nowadays rely on discretionary access control:

- Social networks
- Cloud file sharing systems
- **Operative systems**



Unix: Principals & Groups

- User Identities (UIDs) and Group Identities (GIDs)
 - Originally 16-bit (now 32-bit) numbers.
 - Special UIDs: -2, 0, 1, ...
- User Information
 - Each user has own directory `/home/username`
 - User accounts: `/etc/passwd`
`username:password:UID:GID:info:home:shell`
- Users belong to one or more groups
 - Primary group (`/etc/passwd`), other groups (`/etc/group`)

Security Architecture

- Everything is a file
- Each user “owns” a set of files
- Each file as a simple **Access Control List** to express the access control policy to the file
 - The owner can **modify the permissions of the file** (but cannot give away ownership)
 - System files are owned by special users that can make system operations
- All user processes run by a user run with that user’s privileges
 - Ambient authority!!

File Access Control Lists

- Files have **ACLs** attached to them
 - Each file is assigned an **owner UID** and **GID**
 - Each file has 9 permission bits
 - 3 actions: Read, write, execute
 - 3 subjects *owner, group, other*
- Different semantics between files and directories
 - *Directories*: Read → List files, Write → Add files, Exec → traverse the directory, “cd”
- 3 attributes: “suid”, “sgid”, and “sticky”

Example of UNIX ACLs

	owner	group	others	owner	group					
directories	drwxrwxr-x	1	catronco	catronco	4096	Sep 16 14:23	example	dir		
	-rwxrwxrw-	1	catronco	catronco	8600	Sep 15 15:20	hello			
	-rw-rw-rw-	1	catronco	catronco	150	Sep 15 15:14	hello.c			
files	-rw-rw--w-	1	catronco	catronco	45	Sep 15 15:07	test1.txt			
		links			size	last modified	filename			

Example of UNIX ACLs

	owner	group	others	owner	group	size	last modified	filename
directories	drwxrwxr-x	1	catronco	catronco	4096	Sep 16 14:23	exampledir	
files	-rwxrwxrw-	1	catronco	catronco	8600	Sep 15 15:20	hello	
	-rw-rw-rw-	1	catronco	catronco	150	Sep 15 15:14	hello.c	
	-rw-rw--w-	1	catronco	catronco	45	Sep 15 15:07	test1.txt	

Owner can change permissions on files

chmod $\left[\begin{array}{l} +r, -w, \\ 666, 662 \\ +t \text{ or } 1666, +s \text{ or } 4666 \end{array} \right]$ filename

UNIX Access control in action

Compare:

UID / GID of process trying to perform action

with:

state of file (Owner, Group, mode bits)

Order matters in the comparison

1. If UID says you are owner: check bits for owner.
2. If not owner, but your group is owner, check GID with bits for group.
3. Otherwise check bits for “other”

root user is never denied access

Super users

Special “root” user account

- User ID 0
- Access system files and special operations
- Can access anything: (almost all) security checks disabled
- root is in the TCB!!

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Never login as root!

- Some distributions assign no password
- Use “sudo” or “su” command
- Difference?

```
( $ sudo su catronco )
```

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```



Normal users also need to access system services
but these services need to run with system privileges

suid / sgid mechanism

Exercise – ACL in Linux

A directory named **/project_beta** has the following permissions:

```
drwxr-x--- 2 manager_A core_devs 4096 Sep 24 11:35 /project_beta
```

- **manager_A**: The directory owner and a member of the `core_devs` group, is **sudo**.
- **developer_B**: A member of the `core_devs` group.
- **intern_C**: Is **not** a member of the `core_devs` group.

For each user, determine which of the following commands will succeed and which will fail. Explain why based on the Discretionary Access Control rules.

manager_A	<code>cd /project_beta</code>	<code>ls /project_beta</code>	<code>touch /project_beta/status.log</code>
developer_B	<code>cd /project_beta</code>	<code>ls /project_beta</code>	<code>touch /project_beta/notes.txt</code>
intern_C	<code>cd /project_beta</code>	<code>ls /project_beta</code>	<code>touch /project_beta/ideas.txt</code>

Exercise – ACL in Linux

The system state is the same as before, but with a **new subdirectory inside**:

```
drwxr-xr-x 2 developer_B core_devs 4096 Sep 24 12:00 /project_beta/Foo
```

And inside this directory, a file:

```
-rw-r--r-- 1 developer_B core_devs 512 Sep 24 12:05 /project_beta/Foo/intern_project.txt
```

Q1: The parent directory `/project_beta` is owned by `manager_A`, but the subdirectory `Foo` is owned by `developer_B`. Find a scenario (sequence of commands) that would have led to this situation.

Q2: `intern_C` wants to read the project file. They try the following commands in sequence. Do they work?

```
cd /project_beta/Foo
cat intern_project.txt
```

Q3: `intern_C` tries again, this time using the file's full path from their home directory. Does this command work?

```
cat /project_beta/Foo/intern_project.txt
```

Q4: Who do they need to contact to fix the problem?

Special rights: `suid/sgid`

Setuid and setgid bits serve to indicate that a file is not run with the privileges of the launcher, but **with the privileges of the owner** user/group

Specially useful to run programs that require root, respecting the least privilege principle, e.g., to change a password:

```
ls -l /bin/passwd  
-rwsr-xr-x. 1 root root 27768 Aug 20 2020 /bin/passwd
```

Special rights: `suid`/`sgid`

How do you know if a `suid` program does what it is meant to do? and only what it is meant to do?

```
-rwxr-xr-x 1 root root 3492656 Dec 4 2017 python2.7
```



Setuid Root programs are dangerous! (in TCB)



Special rights: sticky bit

“Restricted deletion bit” (chmod +t)

Directories:

prevents unprivileged users from removing or renaming a file in the directory
unless they own the file

Example: /tmp folder. Users can only edit their own files

Files:

historically prevented program from being moved from swap for fast load

current: linux ignores the bit

Special rights: Nobody

Special user (User ID -2)

- owns no files
- belongs to no user
- Safer user to execute code you do not know, particularly obfuscated code
- Limits damages if they misbehave / get compromised



What about Windows?



Principals = users, machines, groups,...

Objects = files, Registry keys, printers, ...

Access control:

Each object has a discretionary access control list (DACL)

Each process (or thread) has an access token with

 Login user account (process “runs as” this user)

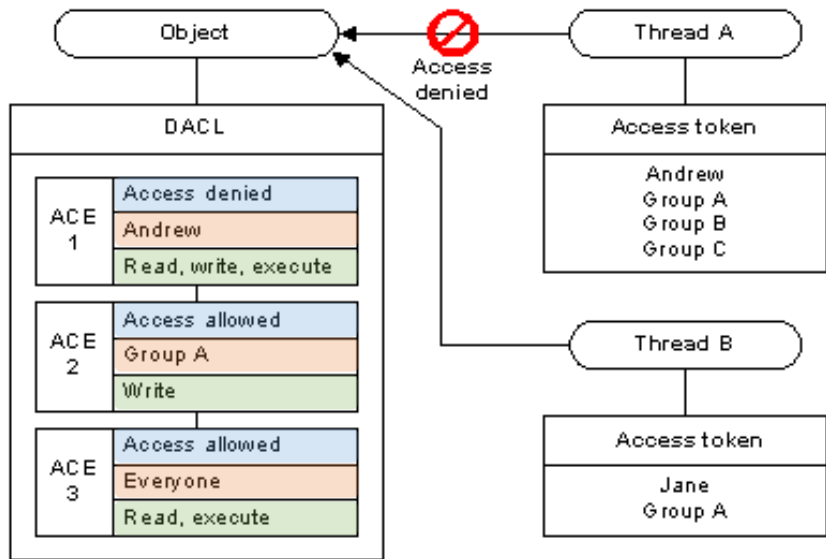
 All groups of which the user is a member(recursively!)

 All privileges assigned to these groups

Compare DACL with the process' access token when creating a handle to the object

What about Windows? DACL

List of Access Control Entries (ACEs)



■ **Type:** negative / positive

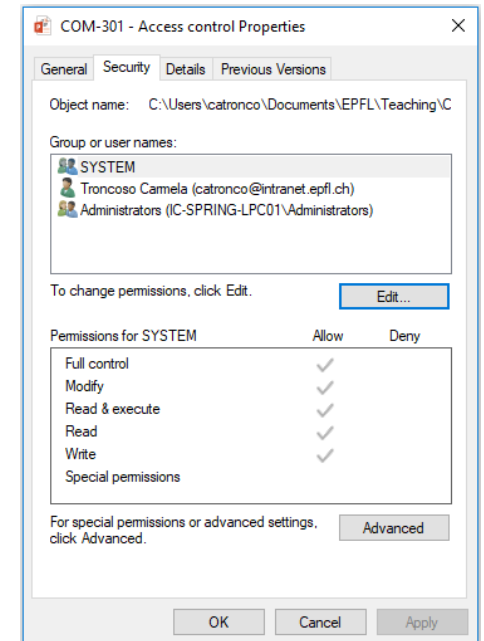
■ **Principal**

■ **Permissions:** more fine grained than UNIX

+ Flags and others...

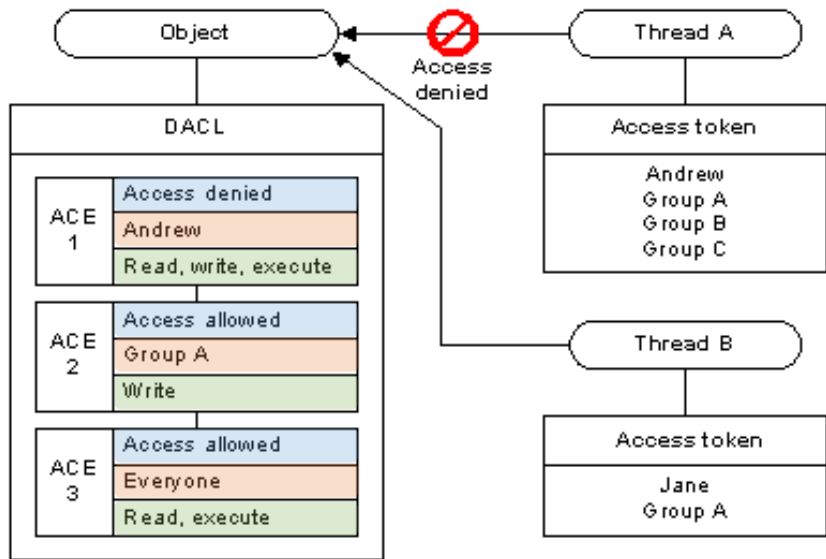
Least Privilege by default

Run as administrator



What about Windows? DACL

List of Access Control Entries (ACEs)



■ **Type:** negative / positive

■ **Principal**

■ **Permissions:** more fine grained than UNIX

+ Flags and others...

Least Privilege by default

Run as administrator

Why negative first?

